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Filip Smentek

Uniwersytet Warszawski

Note on analyticity of function spaces

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Opiekun pracy: Jerzy Kąkol

## NOTE ON ANALYTICITY OF FUNCTION SPACES

FILIP SMENTEK

**ABSTRACT.** A regular topological space  $X$  is analytic if  $X$  is a continuous image of  $\mathbb{N}^{\mathbb{N}}$ , and  $X$  is  $K$ -analytic if  $X$  is an image under an upper semicontinuous (usco) compact set-valued mapping of  $\mathbb{N}^{\mathbb{N}}$ . Given a topological group  $G$  we denote by  $G^\wedge$  the group of characters on  $G$ . We recall some recent results considering analyticity and  $K$ -analyticity of  $G^\wedge$  for some specific topological groups  $G$ , and give some closer looks on the proofs of these results.

### 1. INTRODUCTION

We shall consider only completely regular spaces. For abelian topological groups  $X$  and  $Y$  by  $Hom_p(X, Y)$  and  $Hom_c(X, Y)$  we denote the set  $Hom(X, Y)$  of all continuous homomorphisms from  $X$  into  $Y$  endowed with the pointwise and compact-open topology, respectively. We set  $X_p^\wedge = Hom_p(X, \mathbb{T})$  and  $X_c^\wedge = Hom_c(X, \mathbb{T}) = X^\wedge$ , where  $\mathbb{T}$  denotes the unit circle of the complex plane.

Given topological spaces  $X, Y$ , we denote by  $Y^X$  the space of continuous functions from  $X$  to  $Y$ , equipped with the compact-open topology.

Let us recall that a regular topological space  $X$  is *analytic* if  $X$  is a continuous image of the irrationals (or equivalently  $\mathbb{N}^{\mathbb{N}}$ ), and  $X$  is  *$K$ -analytic* if  $X$  is an image under an upper semicontinuous compact set-valued mapping of irrationals (or  $\mathbb{N}^{\mathbb{N}}$ ).

For a topological abelian group  $X$  the coarsest group topology on  $X$  for which all elements of  $X^\wedge$  are continuous is called the *Bohr topology*; we denote this topology by  $\sigma(X, X^\wedge)$ .

A topological space  $X$  is said to have *countable tightness* if for each set  $A \subset X$  and any  $x \in \overline{A}$  (the closure of  $A$ ) there exists a countable subset  $B \subset A$  whose closure contains  $x$ .

A topological space  $X$  is *Lindelof* if every open cover in it, has a countable subcover.

Given a separable metrizable space  $X$ , we denote by  $\mathcal{K}(X)$  the space of compact subsets of  $X$  equipped with the Vietoris topology. Let us recall that  $\mathcal{K}(X)$  is separable metrizable and for each open  $U \subset X$ , the set  $\{K \in \mathcal{K}(X) : K \cap U \neq \emptyset\}$  is open in  $\mathcal{K}(X)$ , cf. [En], 4.5.23.

In Section 2 we take a look on the following theorem (Kakol, Martin-Peinador, Moll [KMM]):

**Theorem 1.1.** *Let  $X$  be a locally compact abelian group. The following conditions are equivalent:*

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- (i)  $X$  is metrizable,
- (ii)  $X_p^\wedge$  is  $\sigma$ -compact,
- (iii)  $X_p^\wedge$  is  $K$ -analytic,
- (iv)  $(X, \sigma(X, X^\wedge))$  has countable tightness.

Moreover, if  $X$  is Lindelof, then any condition above is equivalent to

- (v)  $X_c^\wedge$  is metric complete and separable.

In Section 3 we extend the proof of the following theorem by Pol and Smentek [PS]:

**Theorem 1.2.** *For any zero-dimensional separable metrizable space  $X$ , the following conditions are equivalent:*

- (i)  $(\mathbb{Z}^X)^\wedge$  is analytic,
- (ii)  $(\mathbb{Z}^X)^\wedge$  equipped with the pointwise topology is analytic,
- (iii)  $X$  is completely metrizable.

In Section 4 we take a closer look at the theorem by Kakol and Lopez Pellicer [KL], concerning approach introduced in [CO]:

**Theorem 1.3.** *If  $F$  is a locally convex Baire space covered by an ordered family  $\{K_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  of relatively countably compact sets,  $F$  is  $K$ -analytic. In fact then  $F$  is a separable locally convex Frechet space.*

## 2. $K$ -ANALYTICITY OF $X_p^\wedge$

The proof of Theorem 1.1 is derived from the following lemmas:

**Lemma 2.1.** *A locally compact Lindelof topological group  $X$  is hemicompact.*

Indeed, take an open neighbourhood of the unit  $U$  whose closure  $\bar{U}$  is compact. Since  $X = \cup_{x \in X} xU$  and  $X$  is Lindelof, there exists a sequence  $(x_n)_n$  such that  $X = \cup_n x_n \bar{U}$ . Let us set  $K_n = \cup_{i=1}^n x_i \bar{U}$ . Then  $(K_n)_n$  is a fundamental sequence of compact sets in  $X$ , so  $X$  is hemicompact.

**Lemma 2.2.** *If  $X$  is a metrizable locally compact abelian group,  $X_c^\wedge$  is a hemicompact  $k$ -space.*

[AUSSENHOFER - DO UZUPEŁNIENIA]

**Lemma 2.3.** *If a topological abelian group  $X$  is hemicompact, then  $X_c^\wedge$  is metrizable.*

[AUSSENHOFER - DO UZUPEŁNIENIA]

**Lemma 2.4.** *Let  $X$  be a Tychonoff space and assume that  $C_p(X, \mathbb{R})$  has countable tightness. Then  $C_p(X, Y)$  has also countable tightness for any metric space  $(Y, d)$ .*

Indeed, let  $A \subset C_p(X, Y)$  and assume that  $f \in \bar{A}$  (the closure in  $C_p(X, Y)$ ). Define a continuous map  $T : C_p(X, Y) \rightarrow C_p(X, \mathbb{R})$  by  $T(g)(x) := d(g(x), f(x))$ , where  $g \in C_p(X, Y)$  and  $x \in X$ . Note that  $0 = T(f) \in T(\bar{A}) \subset \bar{T(A)}$ . By assumption there exists in  $A$  a countable subset  $B$  such that  $T(f) \in \overline{T(B)}$ ; hence  $f \in \bar{B}$ .

*Proof of Theorem 1.1.* (i) $\Rightarrow$  (ii): By Lemma 2.2 the group  $X_c^\wedge$  is hemicompact. So  $X_p^\wedge$  is  $\sigma$ -compact.

(ii) $\Rightarrow$  (iii): If  $(B_n)_n$  is an increasing sequence of compact sets covering  $X_p^\wedge$ , let us set  $T(\alpha) := B_{n_1}$  for  $\alpha = (n_k) \in \mathbb{N}^\mathbb{N}$ . It is clear that  $T$  is upper semi-continuous, with compact values which cover  $X_p^\wedge$ .

(iii) $\Rightarrow$  (iv): Since  $X_p^\wedge$  is  $K$ -analytic, then any finite product  $(X_p^\wedge)^n$  is Lindelof. By [A, Theorem II.1.1] the space  $C_p(X_p^\wedge, \mathbb{R})$  has countable tightness. Now Lemma 2.4 applies to deduce that the space  $C_p(X_p^\wedge, \mathbb{C})$  has also countable tightness. Therefore  $(X, \sigma(X, X^\wedge))$  (as topologically included in  $C_p(X_p^\wedge, \mathbb{C})$ ) has countable tightness.

(iv) $\Rightarrow$  (i): Since  $X$  is a locally compact group, there exist a compact subgroup  $G$  of  $X$ ,  $n \in \mathbb{N} \cup \{0\}$ , and a discrete subset  $D \subset X$  such that  $X$  is homeomorphic to the product  $\mathbb{R}^n \times D \times G$ , see [CM, Theorem 1, Remark(ii)]. Therefore the induced topology  $\sigma(X, X^\wedge)|_G$  coincides with the original one of  $G$ . Hence  $G$  has countable tightness. Since a compact group with countable tightness is metrizable, so  $X$  is metrizable as well.

For the last statement observe that under the assumption that  $X$  is Lindelof, Lemmas 2.2 and 2.3 apply to deduce that  $X_c^\wedge$  is a separable metric space. It is also complete since the dual group of a locally compact abelian group is also locally compact. The result follows now from the fact that  $X_p^\wedge$  is the continuous image of  $X_c^\wedge$  by the identity mapping.  $\square$

### 3. ANALYTICITY OF $(\mathbb{Z}^X)^\wedge$

We shall now prove Theorem 1.2.

Let us recall two lemmas from [PS]:

**Lemma 3.1.** *Let  $\Phi \subset (\mathbb{A}^X)^\wedge$  be compact, where  $X$  is a zero-dimensional realcompact space,  $\mathbb{A} = \mathbb{Z}$  ( $\mathbb{A} = \mathbb{Z}_m$ ) and let  $C$  be the set of points in  $X$  whose each neighbourhood contains a clopen set  $V$  with  $\phi(c_V) \neq 1$  ( $\phi(c_V) \neq 0$ ), for some  $\phi \in \Phi$ , cf. (2). Then  $C$  is compact and  $\phi(f) = 1$  ( $\phi(f) = 0$ ), whenever  $\phi \in \Phi$  and  $f \in \mathbb{A}^X$  vanishes on  $C$ .*

**Lemma 3.2.** *Let  $X$  and  $\mathbb{A}$  be as in Lemma 3.1 and let, for  $\phi \in (\mathbb{A}^X)^\wedge$ ,  $C(\phi)$  be the set  $C$  associated in this lemma with the singleton  $\Phi = \{\phi\}$ . Then the map  $\phi \rightarrow C(\phi)$  is lower-semicontinuous with respect to the topology  $\tau_p$  of pointwise convergence in  $(\mathbb{A}^X)^\wedge$ .*

[BEZ DOWODU?]

Throughout this section,  $X$  is a zero-dimensional separable metrizable space.

For each  $\phi \in (\mathbb{Z}^X)^\wedge$ ,  $C(\phi)$  is the set of points in  $X$  whose each neighbourhood contains a clopen set  $V$  with  $\phi(c_V) \neq 1$ , cf. Lemma 3.1.

Let  $\tau_p$  be the pointwise topology in  $(\mathbb{Z}^X)^\wedge$ . Since the compact-open topology is stronger than  $\tau_p$ , implication (i)  $\rightarrow$  (ii) in Theorem 1.2 is evident.

To explain why (ii)  $\rightarrow$  (iii), let us fix a continuous surjection  $p : \mathbb{P} \rightarrow ((\mathbb{Z}^X)^\wedge, \tau_p)$  on the irrationals  $\mathbb{P}$  and let  $\sigma : \mathbb{P} \rightarrow \mathcal{K}(X)$ , cf. section 1, be defined by  $\sigma(t) = C(p(t))$ . By Lemma 3.2, the map is Borel.

Let us check that

$$(1) \quad \mathcal{K}(X) = \sigma(\mathbb{P}).$$

For any nonempty  $C \in \mathcal{K}(X)$  (notice that  $C(1) = \emptyset$  for the unit 1 in  $(\mathbb{Z}^X)^\wedge$ ), let  $a_1, a_2, \dots$  be dense in  $C$ , and let  $\phi \in (\mathbb{Z}^X)^\wedge$  be defined by  $\phi(f) = \exp\left(i \sum_n 2^{-n} f(a_n)\right)$ . Then  $C(\phi) = C$  and since  $\phi = p(t)$  for some  $t \in \mathbb{P}$ , we get (1).

We infer from (1) that the space  $\mathcal{K}(X)$  of compact sets in  $X$  is analytic and by a theorem of Christensen [DO UZUPELNIENIA] this yields complete metrizability of  $X$ .

It remains to check that (iii)  $\rightarrow$  (i), i.e., we have to derive analyticity of  $(\mathbb{Z}^X)^\wedge$  from complete metrizability of  $X$ . To that end, let us associate to each compact set  $C \subset X$  the set

$$(2) \quad \Phi(C) = \{\phi \in (\mathbb{Z}^X)^\wedge : C(\phi) \subset C\},$$

and let us show that for the restriction map  $r_C : \mathbb{Z}^X \rightarrow \mathbb{Z}^C$ ,  $r_C(f) = f|_C$ ,

$$(3) \quad \Phi(C) = r_C^\wedge((\mathbb{Z}^C)^\wedge), \quad r_C^\wedge(\alpha) = \alpha \circ r_C.$$

Indeed, if  $\phi = \alpha \circ r_C$ ,  $\alpha \in (\mathbb{Z}^C)^\wedge$ , then  $\phi(f) = 1$  for all  $f \in \mathbb{Z}^X$  that vanish on  $C$ , hence by Lemma 3.1,  $C(\phi) \subset C$ . On the other hand, if  $\phi \in (\mathbb{Z}^X)^\wedge$  and  $C(\phi) \subset C$ , again by Lemma 3.1, the formula  $\alpha(r_C(f)) = \phi(f)$  defines  $\alpha \in (\mathbb{Z}^C)^\wedge$ , as  $r_C(f) = r_C(g)$  implies  $\phi(f - g) = 1$ .

Now, since  $\mathbb{Z}^C$  is a discrete group, the dual group  $(\mathbb{Z}^C)^\wedge$  is compact and the compact-open topology in  $(\mathbb{Z}^C)^\wedge$  coincides with the pointwise topology. The map  $r_C^\wedge : (\mathbb{Z}^C)^\wedge \rightarrow (\mathbb{Z}^X)^\wedge$  is continuous and by (3), we get compactness of  $\Phi(C)$ .

Now, the correspondence  $C \rightarrow \Phi(C)$  defined in (2) preserves inclusions, and hence, in terminology of [COT], the space  $(\mathbb{Z}^X)^\wedge$  is determined by  $X$  [DO UZUPELNIENIA]. Since  $(\mathbb{Z}^X)^\wedge$  has a countable net, a theorem of B. Cascales and J. Orihuela [CO] guarantees analyticity of  $(\mathbb{Z}^X)^\wedge$ . [DO UZUPELNIENIA I POWIAZANIA Z SECTION 4]

#### 4. $K$ -ANALYTICITY OF SPACES COVERED BY ORDERED FAMILIES OF SETS

[DO ZROBIENIA]

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INSTITUTE OF MATHEMATICS, UNIVERSITY OF WARSAW, BANACHA 2, 02-097 WARSZAWA, POLAND  
*E-mail address:* `f.smentek@mimuw.edu.pl`